



PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Box Missing Parts, Commissioner of Patents, Washington, DC 20231.

March 19, 2002
Date

Denise Sheridan
Denise Sheridan

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	: Leland R. Nevill	Attorney Docket No.:	500060.02
Serial No.	: 09/998,594	Group Art Unit	: 2876
Filed	: November 16, 2001	Examiner	: Not yet assigned
Title	: METHOD AND APPARATUS FOR IDENTIFYING INTEGRATED CIRCUITS		

RESPONSE TO NOTICE TO FILE MISSING PARTS OF REISSUE APPLICATION

Box Missing Parts
Commissioner of Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated February 14, 2002, please find enclosed the following fully executed documents:

Reissue Declaration

Offer to Surrender the Original Patent (with original patent)

Assent of Assignee

Certificate under 37 C.F.R. § 3.73(b)

General Authorization, and

copy of the Notice to File Missing Parts of Reissue Application.

Also enclosed is an Information Disclosure Statement along with Form PTO-

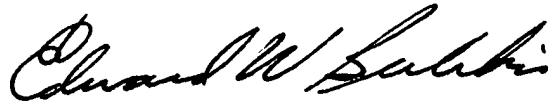
1449.

The fees have been calculated as follows:

Basic Fee	\$ 740
Total Claims (34, 14 extra)	252
Independent Claims (8, 5 extra)	420
Missing Parts Surcharge	130
Total	<u>\$ 1,542</u>

Enclosed is a check in the amount of \$1,542 for the requisite fees. The Commissioner is hereby authorized to charge any additional filing fees or to credit any overpayment to Deposit Account No. 50-1266. A duplicate copy of this response is enclosed.

Respectfully submitted,
DORSEY & WHITNEY LLP



Edward W. Bulchis
Registration No. 26,847

EWB:dms

Enclosures:

- Postcard
- Check
- Fee Transmittal Sheet (+ copy)
- General Authorization Under 37 C.F.R. § 1.136(a)(3)
- Copy of this Response
- Reissue Declaration
- Offer to Surrender the Original Patent (with Original Patent)
- Assent of Assignee
- Certificate under 37 C.F.R. § 3.73(b)
- Copy of Notice to File Missing Parts
- Information Disclosure Statement
- Form PTO-1449

1420 Fifth Avenue, Suite 3400
Seattle, WA 98101
Tel: (206) 903-8800
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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY OF COMMERCE AND
OWNER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/998,594	11/16/2001	Leland R. Nevill	500060.02

Edward W. Bulchis
Dorsey & Whitney LLP
1420 Fifth Avenue
Suite 3400
Seattle, WA 98101-4010

Date Mailed: 02/14/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

Correction of the following is required to complete the reissue application:

☐ The reissue specification has not been provided in double-column format as is required by 37 CFR 1.173(a)(1). **A surcharge is not required when supplying this item.**

☐ Consent of the assignee is missing. 37 CFR 1.172 requires that the reissue oath/declaration be accompanied by the written consent of all assignees. Until this item is supplied, the oath/declaration remains defective; thus, **payment of the surcharge (\$130 for large entity; \$65 for small entity) under 37 CFR 1.53(f) and 37 CFR 1.16(e) is required in addition to the supplying of this item. See MPEP § 1410.01.**

☒ Consent of the assignee is present, but is unsigned. A statement of consent bearing the signature of an official authorized to act on behalf of the assignee(s) must be provided, to comply with 37 CFR 1.172. Until this item is supplied, the oath/declaration remains defective; thus, **payment of the surcharge (\$130 for large entity; \$65 for small entity) under 37 CFR 1.53(f) and 37 CFR 1.16(e) is required in addition to the supplying of this item. See MPEP § 1410.01.**

☐ Assignee's statement under 37 CFR 3.73(b) establishing ownership of the patent is missing. 37 CFR 1.72 requires that all assignees consenting to the reissue establish their ownership interest in the patent by filing in the reissue application a statement in accordance with 37 CFR 3.73(b). See MPEP § 324. Until this item is supplied, the oath/declaration remains defective; thus, **payment of the surcharge (\$130 for large entity; \$65 for small entity) under 37 CFR 1.53(f) and 37 CFR 1.16(e) is required in addition to the supplying of this item. See MPEP § 1410.01.**

☒ Assignee's statement under 37 CFR 3.73(b) is present, but is unsigned. A 37 CFR 3.73(b) statement bearing the signature of an official authorized to act on behalf of the assignee must be provided. Until this item is supplied, the oath/declaration remains defective; thus, **payment of the surcharge (\$130 for large entity; \$65 for small entity) under 37 CFR 1.53(f) and 37 CFR 1.16(e) is required in addition to the supplying of this item. See MPEP § 1410.01.**

*A copy of this notice **MUST** be returned with the reply.*

Office of Initial Patent Examination (703) 308-1202



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/998,594	11/16/2001	Leland R. Nevill	500060.02

CONFIRMATION NO. 6204

FORMALITIES LETTER



OC000000007477052

Edward W. Bulchis
Dorsey & Whitney LLP
1420 Fifth Avenue
Suite 3400
Seattle, WA 98101-4010

Adjustment date: 04/19/2002 SDIRETA1
04/02/2002 BABRAHA1 00000057 09998594
02 FC:110 252.00 OP
03 FC:109 420.00 OP

Date Mailed: 02/14/2002

NOTICE TO FILE MISSING PARTS OF REISSUE APPLICATION

04/02/2002 BABRAHA1 00000057 09998594

01 FC:108 740.00 OP
02 FC:110 252.00 OP
03 FC:109 420.00 OP
04 FC:109

Filing Date Granted

An application number and filing date have been accorded to this reissue application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$468.
 - \$216 for 12 total claims over 20.
 - \$252 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1338.**

04/19/2002 SDIRETA1 00000031 09998594

01 FC:109 252.00 OP
02 FC:110 216.00 OP

*A copy of this notice **MUST** be returned with the reply.*

C. M. [Signature]

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Repln. Ref: 04/19/2002 SDIRETA1 0013172900
DAI: 501266 Name/Number: 09998594 \$204.00 CR
FC: 704

MP8

SN

**FEE TRANSMITTAL SHEET
(FOR FY 2001)**

U.S. PATENT & TRADEMARK OFFICE

MAR 29 2002

Comple *if Known*

Application No.	09/998,594
Filing Date	November 16, 2001
First Named Inventor	Leland R. Nevill
Group Art Unit	2876
Examiner	Not yet assigned
Atty. Docket Number	500060.02

METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2. ☒ Check Enclosed

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - Late filing fee or oath	\$130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$
139	130	139	130	Non-English specification	\$
147	2,520	147	2,520	For Filing a Request for Reexamination	\$
195	300	196	300	Publication (early or Republication)	\$
115	110	215	55	Extension for reply within first month	\$
116	400	216	200	Extension for reply within 2 nd month	\$
117	920	217	460	Extension for reply within 3 rd month	\$
118	1,440	218	720	Extension for reply within 4 th month	\$
128	1,960	280	980	Extension for reply within 5 th month	\$
120	320	220	160	Filing a brief in support of an appeal	\$
121	280	270	140	Request for oral hearing	\$
148	110	248	55	Terminal Disclaimer Fee	\$
140	110	240	55	Petition to revive - unavoidable	\$
141	1,280	241	640	Petition to revive - unintentional	\$
142	1,280	242	640	Utility/Reissue issue fee (+ advance copies)	\$
143	460	243	230	Design issue fee (+ advance copies)	\$
122	130	122	130	Petitions to the Commissioner	\$
123	50	123	50	Petitions related to provisional applications	\$
126	180	126	180	Submission of IDS	\$
581	40	81	40	Recording each patent assignment per property (times number of properties)	\$
179	740	279	370	Request for Continued Examination (RCE)	\$
Other fee (specify)					\$
Subtotal (3)					\$130

Total Amount of Payment: \$1542

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
101	740	201	370	<input checked="" type="checkbox"/> Utility Filing Fee
106	330	206	165	<input type="checkbox"/> Design Filing Fee
108	740	208	370	<input type="checkbox"/> Reissue Filing Fee
114	160	214	80	<input type="checkbox"/> Provisional Filing Fee
Subtotal (1)				\$740

2. EXTRA CLAIM FEES

Current Claims	Prior	Extra	Fee	Fee Paid
Total	34	20	14 x \$ 18 =	\$ 252
Ind.	8	3	5 x \$ 84 =	\$ 420
Multiple Dependent Claims				\$
Subtotal (2)				\$ 672

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent Claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

Submitted by:

Name: Edward W. Bulchis	Reg. No.: 26,847	Telephone: (206) 903-8800
Signature: <i>Edward W. Bulchis</i>		Date: <i>March 18, 2002</i>